U.S. Census Bureau

AES Reporting Problems/Concerns

Exemption Statements

NO SED REQUIRED AES EIN SRN

NDR AES EIN SRN

"NDR AES 123456789-CHL000235"

"NDR AES DEC 123456789-MIA000235"

NDR AES ITN

"NDR AES ITN X20021028000001"

Exemption Statements

OPTION 4 FILERS

NDR AES4 [USPPI ID] – [FILER ID]

"NDR AES4 123456789-879564231AB"

- If authorized agent files for the USPPI

NDR AES4 [USPPI ID]

"NDR AES4 879564231AB"

- If USPPI files AES directly

Maintenance of Verify Messages

- Do not ignore

- Check the data you reported

- Report Repetitive Verify messages
  - Provide Shipment Reference Number
  - Provide Schedule B or HTS Number
  - Provide Invoice showing proof of price, weight, classification

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Ports of Export

- **Report as Defined in Schedule D**

- **Valid Port for Export Reporting must be in the United States or Puerto Rico**

- **Canadian ports are not acceptable**

- **Valid Method of Transportation for the port of export**

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AES Ports of Export Edit

Port Name	State	AES	Cr Port	Ves	Air	Rail	Road	Fix
_____	__	_	_____	_	_	_	_	_
_ 5401 WASHINGTON, DC_____	DC	Y	____	Y	Y	N	N	N
_ 5402 ALEXANDRIA, VA_____	VA	Y	____	Y	N	N	N	N
_ 5501 DALLAS/FT. WORTH, TX___	TX	Y	____	N	Y	N	N	N
_ 5502 AMARILLO, TX_____	TX	Y	____	N	Y	N	N	N
_ 5503 LUBBOCK, TX_____	TX	Y	____	N	Y	N	N	N
_ 5504 OKLAHOMA CITY, OK_____	OK	Y	____	N	Y	N	N	N
_ 2304 LAREDO, TX_____	TX	Y	____	N	Y	Y	Y	N
_ 5506 AUSTIN, TX_____	TX	Y	____	N	Y	N	N	N
_ 5507 SAN ANTONIO, TX_____	TX	Y	____	N	Y	N	N	N
_ 5582 MIDLAND INTER AIRPORT__	TX	Y	____	N	Y	N	N	N
_ 5583 FORTH WORTH ALLIANCE A	TX	Y	____	N	Y	N	N	N
_ 5584 ADDISON AIRPORT_____	TX	Y	____	N	Y	N	N	N

Downtime Policy

- **Inform Client Reps**

- **Inform the Port from which Exporting**

- **Keep a Log**

- **Report Data when System is operable**

Reporting Carrier Codes

- **SCAC – Standard Carrier Alpha Code**

- **IATA – International Air Transport Association**

- **Report the SCAC or IATA of the Carrier Moving the Cargo from the United States**

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Reporting Carrier Codes

- **Report SCAC of the Carrier the Booking was made with**

- **SCAC and Booking Number of NVOCC are Acceptable when Carrier's SCAC and Booking Number are Unknown**

- **Carrier Name must match the SCAC or IATA reported**

Reporting "Unknown"

- **Unknown SCAC Codes**
  - Report the SCAC provided by the Carrier or NVOCC
  - "UNKN" is being overused
- **Unknown Booking Number**
  - "UNKNOWN" as a booking number is no longer an option
- **Unknown Conveyance Name**
  - "UNKNOWN CARRIER" is not acceptable

How to Find Carrier Codes

IATA Codes

Foreign Trade web site

www.census.gov/foreign-trade

SCAC Codes

National Motor Traffic Freight Association, Inc.

703-838-1822

Invoice Level Reporting

- **Filers may report at the Invoice Level**
  - No need to sum up HS/B Numbers
  - Filers must report all shipment data regardless of value

- **Common Invoice Level Reporting Errors**
  - Only reporting invoice levels for shipment data over $2500

Invoice Level Reporting

AES
4700 Silver Hill Road
Suitland , MD 20746
301-457-3539 fax 301-457-1159

Invoice No. **2258**

INVOICE

Qty	Wt.	Schedule B	Description	Price	Value
905	915kg	0808100000	Red Delicious Apples Fresh	$1.05	$950
850	863kg	0808100000	Green Apples Fresh	$0.89	$757
655	671kg	0808100000	Organic Green Apples	$4.25	$2,784
100	103kg	0808100000	Red Apples Fresh	$0.95	$95
250	254kg	0808100000	Green Apples Fresh	$0.98	$245

Timely Reporting

- You must report prior to departure (Option 2) or within 10 days (Option 4)

- Estimate data and correct if needed

- Report corrections as soon as possible

AES Monitoring

- Census monitors timeliness and accuracy of Option 2 and 4 shipments

- Errors, warning and verify messages are stored

- Monitoring Reports are sent monthly

- Continued non-compliance for Option 4 shipments will result in revocation

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Miscellaneous Situations

- **AES does <u>not</u> accommodate In-Transit shipments – Form 7513**

- **Report In-Bond Code "70"**

- **License Code C32 (NLR) requires an ECCN**

- **Be sure to report the FTZ number for shipments being exported from an FTZ**

Foreign Principal Party In Interest

- USPPI ID Type T = Foreign Entity

- Use Type T only if the principal is a non-U.S. entity physically present in the U.S. at the time of purchase or export

- Obtain official ID number from border crossing card, passport, alien registration card, or other document

Foreign Principal Party In Interest (continued)

- Foreign embassy code consists of 2-character ISO country code followed by word "Embassy" (ex. GBEmbassy)

- Use U.S. lodging or embassy address and telephone number to complete USPPI screen

Commodity Redesign

- **Effective August 2003 – AES commodity module will be redesigned**

- **Delivers AES users no more lost transmissions, no more duplicate responses, consistent output, and clearer filing guidelines**

Commodity Redesign

- A "Replace" shipment filing action will take the place of the "Delete" and "Add" action used to change data

- "Marks and Numbers" record (CL3) will be eliminated

- The response messages will include a severity indicator to describe the error (F-fatal, W-warning, V-verification, C-compliance alert, and I-informational). Responses will no longer be preceded with the words "FATAL ERR, WARN ERR, VERIFY, or INFO ERR"

- Effective 2003, Option 3 will be eliminated

U.S. Census Bureau

For More Information

AES Branch: 1-800-549-0595 or
                         301-763-3539

Email: ASKAES@census.gov

Web Sites:
- AES*Direct*: www.aesdirect.gov
- Customs AES Site: www.customs.gov/aes
- Census Site: www.census.gov/foreign-trade

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